

PTO/SB/08B (04-03)

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Substitute for form 1449/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	08/999.245
		Filing Date	December 6, 1997
		First Named Inventor	Jeff S. Eder
		Art Unit	3628
		Examiner Name	Hyung Sough
Sheet 1 of 9	Attorney Docket Number	VM - 3	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	ZIPP, ALAN S.; Business valuation methods; 1993, AICPA	
	2	DAVIDOW, WILLIAM; Accounting systems are completely wrong, January 1995, Red Herring	
	3	MCTAGGERT, JAMES, KONTES, PETER AND MANKINS, MICHAEL, The value imperative, 1994, The Free Press	
	4	RAPPAPORT, ALFRED; Creating shareholder value; 1986, The Free Press	
	5	RITCHKEN, PETER. Options, Theory, Strategy and Applications, 1987, Foresman and Company	
	6	DIXIT, AVINASH & PINDYCK, ROBERT; Investment under uncertainty; 1994; Princeton University Press	
	7	GARSON, DAVID; Interpreting neural network connection weights, 4/1/1991, AI Expert	
	8	WELLSTEAD, STEPHEN; Neural network and fuzzy logic applications in C/C++; 1994; John Wiley & Sons	
	9	MOST, KENNETH; Accounting theory; 1977, Grid, Inc.	
	10	HENDRIKSEN, ELDEN, Accounting theory, 1982, Richard D. Irwin	

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	11	KULKARNI, ARUN; Artificial neural networks for image understanding; 1/1/1994; Van Norstrand Reinhold	
	12	WARD SYSTEMS GROUP; NeuroWindows User Manual; 1993; Wards Systems Group	
	13	BREALEY, RICHARD & MYERS, STEWART; Principles of Corporate Finance; 1991; McGraw Hill	
	14	FAULKNER, TERRENCE; Applying options thinking to R&D valuation; 5/1/1996; Research Technology Manage	
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	16	SIMENSKY, MELVIN & BRYER, LANNING; The new role of intellectual property in commercial transactions,	
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	19	BUSINESS EDITORS & REAL ESTATE INDUSTRY WRITERS, EQK Realty Investors I, 4/2/1992, Press Release	
	20	SWAD, RANDY; Business valuation, applicable standards for CPA's, 9/1/1995, CPA Journal v65, number 9	

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	22	LIEBICH, KIM; How to value a bank; 8/1/1995; ABA Banking Journal	
	23	BAUMANN, BARBARA H & OXAAL, MARJORIE R; Estimating the value of a group medical practice, a primer;	
	24	DOWD, KEVIN, Beyond Value at Risk, 1998, John Wiley & Sons	
	25	FRIEDMAN, RICHARD; Business valuation: calculating it right; 10/1/1994; Practical Accountant	
	26	MULLEN, MAGGIE; How to value intangibles; 11/1/1993; Accountancy	
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	28	OUROSOFF, ALEXANDRA; What the world's top brands are worth; 9/1/1992; Finance World	
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	30	LIPPITT, JEFFREY & MASTRACCHIO, NICHOLAS, Developing capitalization rates for valuing a business, 11	

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STATEMENT BY APPLICANT**

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Sheet 4 of 9

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First Named Inventor	Jeff S. Eder
Art Unit	3628
Examiner Name	Hyung Souh
Attorney Docket Number	VM - 3

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		Application Number	08/999 245
		Filing Date	December 6, 1997
		First Named Inventor	Jeff S. Eder
		Art Unit	3628
		Examiner Name	Hyung Sough
Sheet 5 of 9	Attorney Docket Number	VM-03	

NON PATENT LITERATURE DOCUMENTS			
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	43	KUEHNE, SVEN, et al, "SEQL: Category learning as progressive abstraction using structure mapping", 2	
	44	ALEXANDER, CAROL, Risk Management and Analysis, John Wiley & Sons, 1998	
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	46	BREWKA, GERHARD, Principles of Knowledge Representation, 1996, CSLI Publications	
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	48	FARQUHAR, PETER & HAN, JULIE, "Recognizing and measuring your brand assets" 91-119, Mkt. Sci. Inst.	
	49	SIMON, CAROL & SULLIVAN, MARY, "A Financial Approach to estimating firm level brand equity", 92-116	
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Sheet 6

of 9

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	52	HAYES, ROBERT & ABERNATHY, WILLIAM, "Managing our way to economic decline", Harvard Business Review,	
	53	MODIGLIANA, FRANCO & MILLER, MERTON, "Dividend Policy, Growth and the Valuation of Shares", Journal	

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U. S. PATENT DOCUMENTS					
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		EP 0 587 290 A2	03-16-1994	Powers et al	
		GB 2 253 081 A	02-19-1992	Kaisha, Kabushi	

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FOREIGN PATENT DOCUMENTS					
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Sheet 19 of 19

Complete if Known

Application Number	08/999,245
Filing Date	December 6, 1997
First Named Inventor	Jeff S. Eder
Art Unit	3628
Examiner Name	Hyung Sough
Attorney Docket Number	VM-03

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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